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Oak Brook, IL 60523
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630-472-5969 Fax

FUTURES/FOREX AUTHORIZATION and INITIAL FUNDING DIRECTION

This form should be used by the account owner ("Account Owner") to authorize and direct Inspira Financial Trust, LLC ("Inspira") to open one or more trading accounts ("Trading Account") on behalf of the Account Owner's self-directed IRA or other custodial account ("Inspira Account"). Use this form to direct the establishment of a single Trading Account, describing the transaction in Section B through D below.

Please print after completion and submit all pages of this Direction. For assistance with this Direction, please contact a Client Service Specialist at 800.618.6177.

A Account Owner's Information

Account Owner's Name:

Inspira Account No.: Primary Phone No.:

E-mail Address:

B Trading Account Direction

1. In connection with the establishment of one or more Trading Accounts with any Futures Commission Merchant, Commodity Trading Advisor, or Retail Foreign Exchange Dealer (each a "FCM") and/or Introducing Broker, Investment Firm, or Broker Dealer (each a "Broker"), I hereby authorize and direct Inspira to open a Trading Account with the designated FCM, identified below as follows:

FCM Name: FCM Account No.:

Broker Firm Name: Broker Phone No.:

Introducing Broker Name:

Broker E-mail Address:

Is the Trading Account managed by a Commodity Trading Advisor? ☐ Yes ☐ No

If yes, please
provide:



Commodity Trading Advisor Firm Name:

2. Inspira, not individually but solely in its capacity as custodian, is further authorized and directed to receive, execute, and deliver to the designated FCM such agreements, authorizations, and other documents as may be

C Funding Direction

I further direct Inspira to fund the Trading Account as follows:

Please select
only one
option:



Amount to be transferred to Trading Account: \$

or ☐ All available funds in the Inspira Account (rounded to the nearest dollar).

Funds will be transferred via wire transfer only.

Please continue to page two to complete this form.

FUT-010

D Payments to FCM

To the extent that available funds are present in the Inspira Account, Inspira shall pay to the designated FCM any and all amounts that the FCM may from time to time request to satisfy margin calls, debit balances or other obligations arising from, or in connection with the Trading Account. Any such payment may be made with or without prior written notice to the Account Owner; provided, however, that in no event shall the giving or withholding of such notice affect Inspira's obligation to pay such sums to the FCM as it requests from time to time. The Account Owner acknowledges and agrees that Inspira shall have no liability to any third party, including the Account Owner, for refusing to pay sums properly requested by the FCM to the extent that sufficient available funds are not present in the Trading Account to cover the full amount of any margin call, debit balance or other obligation of the Trading Account.

E Account Owner's Acknowledgements, Representations and Warranties

**CAREFULLY READ THE FOLLOWING INFORMATION
BEFORE SIGNING.**

As Account Owner, I understand and acknowledge that prior to Inspira's execution of the directions contained herein, I am solely responsible for: (i) providing complete answers to any and all suitability questions posed for purposes of determining my Inspira Account's eligibility to open the Trading Account; (ii) reviewing all risk disclosures together with all other required or related documents necessary to open the Trading Account; and signing all forms required by the FCM to be executed by the person who has trading authority over the Trading Account.

In connection with the authorization and direction contained herein I hereby make the following representations and warranties:

1. **Sole Power to Direct Investments.** As the Account Owner, I understand and acknowledge that: (i) my Inspira Account is a self-directed account and that I have sole authority under the documents establishing the Inspira Account to make investment decisions for the Inspira Account; (ii) Inspira shall not exercise or have any authority to make investment decisions for the Inspira Account; and (iii) Inspira is neither responsible nor liable for any investment decisions made by me or any authorized third-party for the Inspira Account. Inspira shall have no authority to exercise any discretionary authority respecting the management, administration or disposition of the Trading Account or any of its assets;
2. **No Investment Advice.** I understand and acknowledge that Inspira has not evaluated or performed any due diligence with respect to the actions directed herein and I further acknowledge that I have not received any investment advice from Inspira in connection with any transaction;
3. **Documentation, Representations and Suitability Requirements.** I have read, approved and understand all of the account opening documents, including the customer agreement ("Customer Agreement") and other applicable documents, if any, necessary to complete the opening of the Trading Account directed herein. I have read and reviewed each representation made within the Customer Agreement and/or other applicable documents, if any, including any suitability requirements, necessary to open the Trading Account and I specifically represent and warrant that I meet all the suitability requirements applicable to the opening of the Trading Account. I agree to indemnify and hold Inspira harmless from and against any and all loss, cost, liability, damage and expense resulting from or arising out of any such representations, including but not limited to, those regarding my personal financial information and/or my retirement plan(s) financial information;
4. **Trading Account Terms and Conditions; Risks.** I have reviewed, approved and am satisfied with all of the terms and conditions of the Trading Account. I acknowledge that, investment in a futures contract and/or other similar types of investments authorized by this direction are speculative in nature and subject to a risk of loss that may be greater than that of other investment vehicles in which retirement funds may be invested. I have evaluated the risks involved, conducted such review as I deemed appropriate, and represent and warrant that investment in the Trading Account is suitable in light of my assets, other investments and retirement objectives. I further understand, acknowledge and agree that investment of retirement funds in futures contracts, as with any other type of investment, may involve income tax considerations and consequences for which Inspira neither renders advice nor assumes any liability whatsoever;
5. **No Prohibited Transactions.** If my Account is an IRA or other retirement account, I understand and acknowledge that certain transactions are prohibited transactions under Internal Revenue Code Section 4975 and ERISA. I further understand that the determination of whether the transaction directed hereby is a prohibited transaction or "party in interest" transaction depends on the facts and circumstances surrounding the transaction. I represent and warrant that: (i) no FCM or any affiliate thereof is a "disqualified person" as defined in Section 4975(e)(2) of the Internal Revenue Code; (ii) I have consulted with such experts and advisors as I deem necessary and appropriate; and (iii) I have determined among other things, that establishment of, and subsequent trading activity within, the Trading Account does not constitute a prohibited transaction as defined in Internal Revenue Code Section 4975 or a "party of interest" transaction (as defined in Section 3(14) of ERISA). Furthermore, as to the transaction I am directing with this form, neither me, nor any member of my immediate family, my broker, agent, investment advisor or other paid consultant is a disqualified person and I understand that if in fact that was the case, that the transaction may be considered a prohibited transaction;
6. **Indemnification.** In consideration of Inspira's facilitating the establishment of the Trading Account and other valuable consideration, the sufficiency of which is hereby acknowledged, I agree to indemnify and hold Inspira, its directors, officers, employees

Please continue to page three to complete this form.

E Account Owner's Acknowledgements, Representations and Warranties Continued

and agents, harmless from and against any and all losses, costs, suits, actions, claims, liabilities, damages and expenses of any character, type or description (Damages) suffered by Inspira resulting from or arising out of: (i) the creation and establishment of the Trading Account directed herein; (ii) holding the Trading Account, including the assets therein, as an asset or assets of my Inspira Account; (iii) Inspira's execution of any and all documents required by a FCM in connection with the establishment of, and trading activity within, the Trading Account; (iv) any action taken or omitted by Inspira in reasonable reliance upon any instructions or information provided to Inspira by a FCM in connection with the authorization and directions contained in this instrument; and (v) my negligence and/or willful misconduct in connection with the establishment and subsequent trading activity within the Trading Account. Any such Damages shall be paid from, or reimbursed to, Inspira from the assets of the Inspira Account, as the case may be. My indemnification obligations under this instrument shall survive its termination;

7. *Conditional Right of Revocation; Transfers.* I acknowledge and agree that any subsequent communications (whether written, oral or contained in any electronic medium) to the contrary notwithstanding, the authorizations and directions contained in this instrument may not be revoked by me for any reason whatsoever, so long as: (i) any Trading Account remains open; and/or (ii) any amount due and owing or claimed to be due and owing, to a FCM remains outstanding. I further acknowledge that all transfers to or from a Trading Account, including funds required for margin or to cover losses, may only be made from or to my Inspira Account and that any contribution and/or withdrawal to or from the Trading Account outside of my Inspira Account may result in the loss of the tax deferred nature of my IRA or other retirement account and avoid undesirable tax consequences; and
8. *Continuing Nature of Representations and Warranties.* I acknowledge and agree that the representations and warranties I have made herein and in any of the documents required to be executed in connection with opening and continuing trading within the Trading Account are true and correct as of the date hereof. I further acknowledge and agree that all of the duties and obligations of Inspira contained in the Trading Account shall only apply to Inspira in its capacity as custodian of my Inspira Account, and not individually. I will immediately notify Inspira in the event of any of the foregoing representations and/or warranties are no longer true.

F Inspira Requirements

1. *Trading Account Registration and Ownership.* If my Account is an IRA or other retirement account, I acknowledge that the Trading Account authorized hereunder will be registered in the name of Inspira Financial Trust, LLC., as Custodian for the benefit of my IRA under Inspira's Tax ID number 36-4400066 only and not under my social security or taxpayer identification number.

G Account Owner's Signature

The undersigned hereby agrees to the above authorization, direction, terms, conditions, and requirements and confirms the representations and warranties made in Section E, and its subparagraphs 1-8, inclusive.

Sign and date
to authorize as
Account Owner.

→ Account Owner's Signature: _____

Date:

In the event that my Inspira account shall have insufficient cash available to fund the Trading Account as directed herein for a period of 90 days from the date of its execution, this Futures/Forex Authorization and Initial Funding Direction shall be null and void.