

Corporate Account Application Form

Please complete ALL SECTIONS in full using BLOCK CAPITALS. Failure to do so could delay the opening of your trading account. Please call us directly at 0800 032 1948 (UK only) or +44 (0) 20 7429 7900 (free-phone) should you have any questions.

1 - Company Details

Company Name

Please provide a detailed description of your core business activities as well as your revenue sources.

Business Website

Correspondence Details

Address Line 1

Address Line 2

Town

County

Postcode

Country

Business Email Address

Primary Contact

Title Mr Mrs Miss Ms Dr

Other Please specify

First Name

Surname

Business Tel No

Email Address

Registered Address

Same as Correspondence

Address Line 1

Address Line 2

Town

County

Postcode

Country

Registered number (If applicable)

Principal place of Business

Same as Correspondence

Address Line 1

Address Line 2

Town

County

Postcode

Country

Company Bank Details

Bank Name

Account No

Sort Code - -

or

IBAN

BIC

2 - Compliance Details

Does the Company have any pending litigation, disputed accounts or other unresolved matters? Yes No

Has the Company ever been subject to Bankruptcy/Insolvency proceedings? Yes No

Is the company FCA registered or regulated in any other country? Yes No

Please provide details:

Are any of the company's shares held in nominee?

Yes No

If yes, please explain.

Please provide current corporate financial information below:

Total Assets

Total Liabilities

Intended Initial Deposit

If the company does not have any liabilities, please provide an explanation:

Are the deposited funds contributed solely by the entity and/or its principals/owners?

Yes No

If yes, you affirm that all assets deposited or to be deposited in the account(s) are corporate proprietary funds resulting solely from:

- a. Paid in capital contributed by the firms owners/principals as disclosed in the customer application; and/or
- b. Net income and/or retained earnings resulting from regular business operations.

As an FCA regulated firm we are required to assess whether leveraged trading is appropriate for you. **Your responses will be used to determine whether we are able to open an account for you** so please complete your application carefully.

3 - Trading Experience

Understanding your trading knowledge and experience will assist us in determining if an account is appropriate for you. Please answer the following questions carefully.

The most experienced individual who is authorised manager/ supervisor of trading activities.

Full name

How often have you traded the following products in the last 12 months?

Shares or bonds

Frequently Regularly Occasionally Never

Futures, options or ETFs

Frequently Regularly Occasionally Never

CFDs or margined FX

Frequently Regularly Occasionally Never

How many times have you traded CFDs **or** spot FX in the last 12 months? (check one)

50 or more 25 - 49 10 - 24 Less than 10

Do you have work experience that provides you with a good understanding of trading CFDs?

Yes No

If yes, please specify:

I have at least 3 years' work experience

I have at less than 3 years' work experience or at least one years' experience investing on my own behalf

Investing experience must be independent of your experience provided above.

Do you have any qualifications that assist your understanding of CFDs?

Yes No

If yes, please specify:

I hold a professional qualification or degree such as the CFA, MBA, BSc or MA

I hold a qualification or degree in finance, economics, accounting OR a similar field.

4 - Additional Information

If you do not understand the following concepts a leverage trading account may not be appropriate for you.

I understand that CFDs are leveraged products and their performance may be volatile.

I understand I don't understand

I understand that it is my responsibility to monitor and manage my account.

I understand I don't understand

I understand that when trading leveraged products that my capital is at risk.

I understand I don't understand

6 – Contact Preferences

Please keep me informed about news, products and services applicable to my account via:

Email Phone Post SMS

You can change your preferences at any time within 'MyAccount', or [contact us](#).

7 - Declaration

I confirm that:

- The information provided in this application is true and accurate.
- I have read and agree to the [Terms and Policies](#) including without limitation the [Customer Agreement](#) and [Privacy Policy](#).
- You may provide information to me (including without limitation any [Terms and Policies](#) and any changes to them) by email, on your website or by any other electronic means.
- I understand the nature and risks of Trading Spot Forex/CFDs and have read and understand the Risks Warning Notice in your [Terms and Policies](#).

If there is anything you do not understand, please call Client Management on 0800 032 1948

Data Protection

To comply with anti-money laundering regulations, the Company is required to collect information and undertake checks on the identity and residential address of the directors, shareholders and other authorised signatories, including accessing and using information held in any database or other electronic format. The Company may request further supporting documents from you to confirm these details. Such requests could delay the processing of this application.

The Company may use the personal information of identifiable individuals, for example, for responding to credit reference enquiries, searching the electoral register and making enquiries of credit reference and other agencies, who may retain a record of the enquiry and information given to them and who may also use your information in the future to assist other organisations for crime prevention and credit purposes.

For further details about how the Company will use your personal information, please see our Privacy Policy which is available on our website.

By signing this form you represent that all the information contained herein is true and accurate and you agree to be bound by the terms of this application form and the Agreement.

Some of the market data that you will be able to view as part of your account may include data from various exchanges. In compliance with their data usage requirements, you are required to confirm if you a professional client. A professional client is a person who is not an individual, is not trading on its own behalf and is not registered with any regulatory body.

Exchange professional client Exchange non-professional client

8 - Board Resolution

CERTIFIED COPY OF RESOLUTIONS DULY PASSED AT A MEETING OF

("THE CLIENT") HELD ON (DDMMYYYY)

A. We, the persons named in Box 1, certify that (a) the information contained in this application form is accurate, complete and up to date and (b) the resolutions at paragraph 1.1 to 1.3 below are true and complete copies of the resolutions of the Client duly passed (and which have not been subsequently varied or revoked) at the Board meeting referred to above, at which a quorum (entitled to vote) was present throughout and at which the directors declared the nature of any declarable interests.

The resolutions were as follows: -

1. it was resolved: -

1.1 to open an account ("the account") with StoneX Financial Ltd ("the Company") for the purposes of Margin Trading (as defined in the General Terms) on the basis of the Agreement and the terms of the application form.

1.2 that each of the directors named in Box 1 be and are hereby authorised to: -

1.2.1 sign the application form for and on behalf of the client;

1.2.2 do all acts, execute all documents and enter into and perform all agreements necessary or convenient for the purposes of opening and/or operating of the account;

1.2.3 give instructions in relation to the account

1.3 that each of the persons named in Box 2 be and are hereby authorised to give instructions in relation to the account.

B. Each of the directors named in Box 1 jointly and severally warrant that:-

1. the Client has full power and authority to open and operate the account with the Company in accordance with the above resolutions, its memorandum, articles of association and the other constitutional documents and without breach of any law restriction or obligation binding on the client;

2. each of the directors named in Box 1 is a duly appointed director of the Client and holds the position adjacent to their name.

C. Each of the directors named in Box 1 and each of the persons named in Box 2 consent to the use of their personal information as described in section 7 of this application form (one of whom must be the authorised manager/supervisor of trading activities).

Box 1: Agreement

By signing this form you represent that all the information contained herein is true and accurate and you agree on behalf of the client to be bound by the terms of this Application Form and the Agreement on behalf of the applicant.

Signature

Full Name

Position

Date (DDMMYYYY)

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Signature

Full Name

Position

Date (DDMMYYYY)

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Box 2: Persons Authorised to Trade

Signature

Full Name

Position

Date (DDMMYYYY)

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Signature

Full Name

Position

Date (DDMMYYYY)

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Documents Required

We will require appropriate evidence of identity from Directors, Shareholders and other persons we consider appropriate. In the first instance, please provide evidence of identity for at least two Directors and any shareholder who owns more than 25% of the shares.

Identification can consist of:

UK Domicile - Current Copy Passport or Copy UK Driving License and an original utility bill (dated within the last 6 months)

Non UK domicile - Current Copy Passport or Copy Identity Card and

one original utility bills (dated within the last 6 months). Please

include the following with your application:

- Evidence of Incorporation
- Proof of registered address
- Memorandum and Articles of Association
- Last two years report and accounts
- Details of directors and Shareholders

With more complex corporate structures, we will ask for further documentation in order to ascertain the ultimate beneficial owners and controllers.

What to do next

Please check the details that you have provided are correct and that the application form is signed. Then send your completed application to us by post or email scanned copies using the details following.

Post

FOREX.com

Devon House

58 St Katharine's Way

London

E1W 1JP

Email: newaccounts@forex.com