

Notice Regarding Anti-Money Laundering:

In response to the USA PATRIOT Act, it is GAIN Capital Group LLC's ("GAIN Capital") policy to verify personal information provided on this application. When opening a trading account with GAIN Capital, we will ask for your:

- Name
- Address
- Date of birth
- Social security number

We may also ask you for documentation to support your identity, such as:

- Current passport
- Driver's license
- Other documents deemed appropriate

GAIN Capital reserves the right to request additional documentation and upon further review may deny opening an account if documentation is determined to be insufficient.