

Privacy Policy

1. Collection and Use of Personal Information

When you open an account with SFCI, we will obtain personal information about you, which will be kept on file in accordance with industry regulations. Only authorized individuals and entities have access to this information. The information that we collect allows us to identify you; to protect you against possible fraud, to assess your potential investment needs so that we may suggest products and services to you, which are appropriate for you, and to comply with legal and regulatory requirements.

2. Social Insurance Number

If you are an individual, SFCI may collect, use and disclose your SIN for income tax reporting purposes.

3. Disclosure of Personal Information to External Sources

SFCI may from time to time disclose your personal information to external sources such as credit agencies, other financial institutions and other parties who provide services to SFCI. SFCI may also rely on external sources to collect personal information about you.

4. Affiliated Companies

SFCI may from time to time disclose your personal information to affiliated companies for the purpose of making related products and services available to you.

5. Consent

Your consent is required before we may provide your information to any third parties. The consent that you provide to SFCI with regards to the collection, use and disclosure of your personal information may be cancelled at any time by providing written notice to SFCI. SFCI does not require your consent to the collection, use and disclosure of your personal information where:

- Such collection, use and disclosure is reasonably required in the normal course of providing service to your account,
- For the collection of a debt owed to SFCI by you,
- To a law enforcement agency, securities regulatory authority or Self-Regulatory Organization,
- To legal counsel for the purpose of obtaining advice.

By opening an account at SFCI, you are providing consent to SFCI to collect, use and disclose your personal information as set out in this agreement.

6. Corrections to Personal Information

You may review the information you have provided to SFCI and make corrections to it. We may request that you provide corrections in writing. You may address corrections, questions or privacy-related complaints to the Chief Compliance Officer, StoneX Financial (Canada) Inc., 20 Adelaide Street East, Suite 1001, Toronto, Ontario, M5C 2T6.

7. Retention of Personal Information

SFCI may retain your personal information on file after you cease to be a client of SFCI for as long as SFCI requires such information or as long as is demanded by our regulatory requirements.