

StoneX

2023 Q3 Recent Transactions

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Month	Company	Ticker	Amount (USD)	Transaction Type	Role
July	Aqua Metals, Inc.	Nasdaq: AQMS	\$20 Million	Public Offering	Bookrunner
	NeuroOne Medical Technologies	Nasdaq: NMTC	\$6 Million	Public Offering	Bookrunner
August	Sacks Parente Golf Inc.	Nasdaq: SPGC	\$12.8 Million	IPO	Bookrunner
	TFF Pharmaceuticals Inc.	Nasdaq: TFFP	\$5.7 Million	Public Offering	Bookrunner
September	CSG International	Nasdaq: CSGS	\$425 Million	144A Offering	Bookrunner
	Heliogen Inc.	NYSE: HLGN	\$40 Million	At the Market Offering	Agent
	Know Labs Inc.	NYSE: KNW	\$7 Million	Public Offering	Bookrunner
	KULR Technology Group	NYSE: KULR	\$2.9 Million	Public Offering	Bookrunner