

StoneX

2022 Q4 Recent Transactions

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Month	Company	Ticker	Amount (USD)	Transaction Type	Role
October	AeroClean	Nasdaq: AERC	N/A	Fairness Opinion	Advisor
	Cardio Diagnostics	Nasdaq: CDIO	\$175 Million	Business Combination	Capital Markets Advisor
	Cardio Diagnostics	Nasdaq: CDIO	N/A	Fairness Opinion	Advisor
	Greenlane	Nasdaq: GNLN	\$7.5 Million	Public Offering	Advisor
November	Finger Motion	Nasdaq: FNGR	\$4.0 Million	Private Placement	Advisor
	Finger Motion	Nasdaq: FNGR	\$7.5 Million	Private Placement	Advisor
	Indie	Nasdaq: INDI	\$140 Million	144A Offering	Co-Manager
	Peraso	Nasdaq: PRSO	\$2.5 Million	Registered Direct	Placement Agent
December	Global System Dynamics	Nasdaq: GSD	N/A	Fairness Opinion	Advisor