

StoneX

2022 Q3 Recent Transactions

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Month	Company	Ticker	Amount (USD)	Transaction Type	Role
July	Biofrontera	Nasdaq: BFRI	\$4.7 Million	Private Placement	Placement Agent
	Glory Star	Nasdaq: GSMG	N/A	Fairness Opinion	Advisor
	gettyimages	NYSE: GETY	\$4.8 Million	Business Combination	Capital Markets Advisor
August	Finger Motion	Nasdaq: FNGR	\$4.0 Million	Private Placement	Placement Agent
	PAX Medica	Nasdaq: PXMD	\$8.1 Million	IPO	Underwriter
	Sanuwave	OTC: SNWV	\$12.0 Million	Private Placement	Placement Agent
	Westrock Coffee	Nasdaq: WEST	\$1.2 Billion	Business Combination	Capital Markets Advisor
September	Bragg	Nasdaq: BRAG	\$8.7 Million	Private Placement	Placement Agent
	SilverSun	Nasdaq: SSNT	N/A	Fairness Opinion	Advisor
	TradeUp Acquisition Corp	Nasdaq: UPTD	N/A	Fairness Opinion	Advisor