

NOTICE OF PROCEDURE FOR CLIENT COMPLAINTS

In the event that you wish to bring a complaint to our attention that you were unable to resolve directly with your Account Representative, you may direct your complaint to the INTL FCStone Markets, LLC (“IFM”) Compliance Department at the following address: INTL FCStone Markets, LLC c/o Chief Compliance Officer, 230 S LaSalle St, Chicago, IL 60604. Alternatively, complaints may be emailed to: intlfcstonemarkets.complaints@intlfcstone.com or may be made by telephone at (312) 789-2517 or (312) 780-6700. Please note that if you decide to register your complaint via telephone, you must follow up with IFM via email or in writing within 10 business days. Any complaint must include your name, address and IFM account number. In addition, the complaint must contain the name of the IFM employee(s) that the complaint relates to and a detailed description of the nature of the complaint.