

The Securities and Exchange Commission (SEC) requires broker-dealers to disclose to customers information concerning its order routing practices and any payment for order flow the broker-dealer receives. We are providing you the following details to assist you in better understanding the process StoneX Securities Inc. (StoneX) employs when handling your orders. StoneX routes your equity orders to broker-dealers and/or market centers (collectively referred to as "venues"), which could route your order to an exchange or other venue. The reports linked below have been prepared in accordance with SEC Rule 606(a). Each report contains a section for Regulation NMS stocks (separated by securities that are included in the S&P 500 Index as of the first day of the quarter and other Non-S&P 500 stocks) and a separate section for listed options. For each section, the report identifies the venues to which the relevant broker-dealer routed the covered orders and, for each venue, the required statistical information broken down by order type (i.e., market order, marketable limit order, non-marketable limit order and other orders). Consistent with SEC guidance, the reports include information for venues to which the Firm routes 5% or more of order flow. Each section of the report also contains information regarding the material aspects of StoneX's relationship, if any, with each venue.

**Click here if your account is held at StoneX Securities Inc.:**

<https://www.stonex.com/compliance-library/>

**Click here if your account is held at Pershing:**

[www.orderroutingdisclosure.com](http://www.orderroutingdisclosure.com)

**Click here if your account is held at Charles Schwab:**

<https://www.schwab.com/legal/order-routing-1>

Additionally, upon written request, SA Stone will provide you with details regarding the destination to which your orders (for up to six months preceding your request) were routed for execution. StoneX Wealth will also provide you, upon request, with information regarding the handling of any "not held" orders you might place with StoneX Wealth, consistent with the requirements of Rule 606(b)(3). Contact StoneX Wealth by email at [compliance@saswealth.com](mailto:compliance@saswealth.com) or by phone at 800-292-2411.