

Application Form

Individual or Joints Accounts

Please complete this form, then email it to us at newaccounts.au@forex.com

StoneX Financial Pty Ltd trading as FOREX.com (ACN 141774727, AFSL 345646) would like to thank you for your interest in our products.

Please complete ALL SECTIONS in full using BLOCK CAPITALS.

SECTION 1 – PERSONAL DETAILS

Title Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Dr ☐
 Other ☐ Please specify

First Name

Middle names

Surname

Date of Birth

Country of Residence

Email address

Phone Number

Enter username
(select a memorable username for your account)

Address Line 1

Address Line 2

Address Line 3

State

Postcode

Citizenship

TAX RESIDENCY

Is Australia your only country of tax residency? Yes ☐ No ☐

Country

If you are a tax resident of the United States please provide your Social Security number.

Social Security number

Please check the rules of any country in which you may possibly be a tax resident and/or seek further independent tax advice if you are unsure as to your tax status.

IDENTITY VERIFICATION

Please provide details of either your passport or driving license.

Australian driver licence number

Passport Number

SECTION 2 – JOINT HOLDER DETAILS

(only complete if applying for a Joint Account)

Title Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Dr ☐
 Other ☐ Please specify

First Name

Middle names

Surname

Date of Birth

Country of Residence

Email address

Phone Number

Enter username
(select a memorable username for your account)

Address Line 1

Address Line 2

Address Line 3

State

Postcode

Citizenship

TAX RESIDENCY

Is Australia your only country of tax residency? Yes ☐ No ☐

Country

If you are a tax resident of the United States please provide your Social Security number.

Social Security number

Please check the rules of any country in which you may possibly be a tax resident and/or seek further independent tax advice if you are unsure as to your tax status.

IDENTITY VERIFICATION

Please provide details of either your passport or driving license.

Australian driver licence number

Passport Number

SECTION 3 – ACCOUNT TYPE

1) Select your account type:

Standard CFD Account

Standard Meta Account

2) Select your base currency:

AUD

USD

SECTION 4 MORE ABOUT YOU

EMPLOYMENT

Employment status

☐

Employed

Retired

☐

Self employed

Student

Unemployed

YOUR FINANCIAL DETAILS

Annual Basic Salary & Regular Income (AUD)

☐

Over \$200,000

☐

\$50,000-\$79,999

☐

\$120,000-\$199,999

☐

\$20,000-\$49,999

☐

\$80,000-\$119,999

☐

less than \$20,000

Approximate Net Worth (AUD)

☐

Over \$500,000

☐

\$2,000-\$9,999

☐

\$150,000-\$499,999

☐

\$500-\$1,999

☐

\$50,000-\$149,999

☐

less than AU \$500

☐

\$10,000-\$49,999

Anticipated amount available for trading each month (AUD)

☐

Over \$100,000

☐

\$5,000-\$19,999

☐

\$50,000-\$99,999

☐

less than \$5,000

\$20,000-\$49,999

Source of funds

Savings/Investments

Family or Partner Income

Benefits

Loans/credit

Pension

Property Income

Other

Planned Initial Deposit (AU\$)

What CFD asset classes do you intend to trade?

FX

Interest Rates & Bonds

Share CFDs

Cryptocurrencies Options

Indices

Commodities, Metals & Oil

YOUR EXPERIENCE

As part of our regulatory obligations we are required to ask about your relevant experience to assess whether our products are appropriate for you. Failure to provide accurate responses may affect our ability to

1) How often have you traded CFDs, futures, options or margined foreign exchange in the past 12 months on an execution only basis?

Frequently

Regularly

Occasionally

Never

2) How often have you traded shares and/or bonds in the past 12 months on an execution only basis?

Frequently

Regularly

Occasionally

Never

3) Do you have relevant work experience or professional qualifications?

Yes

No

4) Which of the following best describes your highest risk tolerance?

I am able and willing to bear losses exceeding the amount invested I

am able and willing to bear total losses of the amount invested

I am willing to bear limited losses

I cannot bear any losses

5) What is your investment objective for trading CFD/FX products?

I am comfortable accepting the price fluctuations similar to the stock market I am targeting speculative returns and am comfortable experiencing extreme price fluctuations, even in the short term (days or weeks)

I want to incur price fluctuations typically lower than the stock market high capital growth and/or long-term income focus

I want to experience limited price fluctuations moderate capital growth or income returns

A defensive or (capital) preservation approach when investing

6) What is your investment strategy when trading CFD/FX contracts

Component of a portfolio

Standalone or core investment

Hedging existing portfolio

Speculation

SECTION 5 MORE ABOUT JOINT HOLDER

EMPLOYMENT

Employment status ☐ Employed ☐ Retired ☐ Self employed
☐ Student ☐ Unemployed

YOUR FINANCIAL DETAILS

Annual Basic Salary & Regular Income(AUD)

☐ Over \$200,000 ☐ \$50,000-\$79,999
☐ \$120,000-\$199,999 ☐ \$20,000-\$49,999
☐ \$80,000-\$119,999 ☐ less than \$20,000

Approximate Net Worth (AUD)

☐ Over \$500,000 ☐ \$2,000-\$9,999
☐ \$150,000-\$499,999 ☐ \$500-\$1,999
☐ \$50,000-\$149,999 ☐ less than AU \$500
☐ \$10,000-\$49,999

Anticipated amount available for trading each month (AUD)

☐ Over \$100,000 ☐ \$5,000-\$19,999
☐ \$50,000-\$99,999 ☐ less than \$5,000
☐ \$20,000-\$49,999

What CFD asset classes do you intend to trade?

☐ FX ☐ Interest Rates & Bonds
☐ Share CFDs ☐ Cryptocurrencies
☐ Indices ☐ Options
☐ Commodities, Metals & Oil

Source of funds

Savings/Investments Family or Partner Income
 Benefits Loans/credit
 Pension Property Income
 Other

Planned Initial Deposit (AU\$)

YOUR EXPERIENCE

As part of our regulatory obligations we are required to ask about your relevant experience to assess whether our products are appropriate for you. Failure to provide accurate responses may affect our ability to

1) How often have you traded CFDs, futures, options or margined foreign exchange in the past 12 months on an execution only basis?

☐ Frequently ☐ Regularly
☐ Occasionally ☐ Never

2) How often have you traded shares and/or bonds in the past 12 months on an execution only basis?

☐ Frequently ☐ Regularly
☐ Occasionally ☐ Never

3) Do you have relevant work experience or professional qualifications?

☐ Yes ☐ No

4) Which of the following best describes your highest risk tolerance?

I am able and willing to bear losses exceeding the amount invested I
 am able and willing to bear total losses of the amount invested
 I am willing to bear limited losses
 I cannot bear any losses

5) What is your investment objective for trading CFD/FX products?

I am comfortable accepting the price fluctuations similar to the stock market I am targeting speculative returns and am comfortable experiencing extreme price fluctuations, even in the short term (days or weeks)
 I want to incur price fluctuations typically lower than the stock market high capital growth and/or long-term income focus
 I want to experience limited price fluctuations moderate capital growth or income returns
 A defensive or (capital) preservation approach when investing

6) What is your investment strategy when trading CFD/FX contracts

Component of a portfolio
 Standalone or core investment
 Hedging existing portfolio
 Speculation

SECTION 6 AGREEMENTS

By ticking the box below, I declare and acknowledge that:

1. I understand that FOREX.com is a trading name of StoneX Financial Pty Ltd and I am applying to open a trading account with StoneX Financial Pty Ltd (FOREX.com Australia);
2. I have received, read and understood FOREX.com Australia's Financial Services Guide, Product Disclosure Statement (PDS) and Customer Agreement all available at www.forex.com/en-au (together "Documents") and agree to be bound by the terms of the Documents;*
3. I consent to receiving the Documents in electronic form. I also give my consent to receive documents such as trade confirmations, daily statements, monthly statements, receipt of funds in electronic form.
4. I agree that FOREX.com Australia will deal in its derivative products and provide its services to me on the terms and conditions of the Documents (as amended, supplemented or replaced from time to time);
5. I have given consideration to my objectives, financial situation or needs, the content of the Documents and the risk of loss which accompany the prospects of profit associated with dealing in FOREX.com Australia's products/services, and formed the opinion that dealing in FOREX.com Australia's products/services are suitable for my circumstances and purposes;
6. I am aware that investing in derivatives carries a high level of risk to capital, and due to the potential volatility and fluctuation in value, I may not get back the amount of my original investment;
7. I understand and agree that FOREX.com Australia may vary, update or replace the Documents at any time when FOREX.com reasonably considers necessary by:
 - a. written notice to me; or
 - b. posting the notice of changes or new Documents on FOREX.com Australia's websites; or
 - c. Sending me a written notice containing a link to the notice of changes or new Documents; or
 - d. as otherwise permitted by applicable law;
8. I declare that the information contained in this application is true and correct (including the authenticity of the identification documents) and I will notify FOREX.com Australia in writing immediately if the information contained in this application materially changes or ceases to be correct;
9. I have been advised by FOREX.com Australia to obtain independent legal and financial advice concerning its products/services and the Documents. I have obtained appropriate and sufficient advice concerning the terms of the Documents;
10. I consent to FOREX.com Australia collecting, maintaining, using and disclosing personal information about me and provided by me or by another person as described in the PDS;
11. I agree that FOREX.com Australia may charge to my account any fees and charges as set out in the PDS, government charges, taxes or duties imposed on transactions on/or which relate to my account;
12. I agree to check my account statements/trade confirmations daily and notify FOREX.com Australia immediately of any errors or unusual transactions;

13. I agree that in opening an account I have been opted into Marketing. Should you wish to opt out contact Client Management support.au@forex.com
14. If I have applied for a joint account, I declare that the joint account holder and I are the only persons authorised to trade on my account. If I wish to appoint another person to trade on my account, I will provide a duly executed power of attorney form to FOREX.com Australia. I will not disclose my account number and password to any person other than my joint holder/attorney;
15. I acknowledge that my joint account holder and I are jointly and severally liable for all trading on my trading account and that clause 3.5 of the Customer Agreement applies to the operation of the joint account
16. I confirm that I am authorised to provide the personal details presented and I consent to my information being checked with the document issuer or official record holder for the purpose of confirming my identity.
17. I have also read and understood FOREX.com Australia's Target Market Determination available at <https://www.forex.com/en-au/terms-and-policies/overview/>
18. By signing below, the joint account holder (joint applicant) agrees to be bound by the terms of the Documents and the above declaration.

Print Name

Date (DDMMYYYY)

--	--	--	--	--	--	--	--	--	--

Joint Holder Signature

Print Name

Date (DDMMYYYY)

--	--	--	--	--	--	--	--	--	--

Please email this completed form to
newaccounts.au@forex.com