

C. Authorized Representative(s)

For more than three authorised representatives please send a copy of this Section separately duly signed by the Company's management. Please use the Sample Board of Director Resolution to verify the appointment of the Authorised Representative(s). The most experienced individual who is authorized manager /supervisor of trading activities.

PERSON 1

1. Title (Mr/Ms): _____

2. Full name: _____

3. Nationality: _____

4. Passport number/ (ID card number only if Cyprus resident): _____

5. Place and date of birth: _____

6. Country of residence: _____

7. Contact Details:

Full address:

Postal code:

City & Country:

Home telephone:

Mobile telephone:

Fax number:

E-mail (if any):

Capacity (please select all that apply):

Director

Authorised representative to operate the account

Authorised Signatory

Authorised to Withdraw

Other _____

7.2 Correspondence address (if different):

8. Professional and educational background

Profession:

Name of employer/organisation or own business:

Other occupation(s), if any:

Do you hold any educational or professional qualifications relevant to the type of trading/service you intend to carry with us on behalf of the person whom you represent

YES

NO

If 'YES' please specify:

Was your past occupation(s) within or related to the financial sector?

YES

NO

If 'YES' please specify:

9. Politically exposed persons (see Appendix A for definition)

I declare that **I am NOT** a Politically Exposed Person as defined under points 1 or 2 in Appendix A

I declare that **I am** a Politically Exposed Person as defined under points 1 or 2 in Appendix A

PERSON 2

1. Title (Mr/Ms): _____

2. Full name: _____

3. Nationality: _____

4. Passport number/ (ID card number only if Cyprus resident): _____

5. Place and date of birth: _____

6. Country of residence: _____

7. Contact Details:

Full address:

Postal code:

City & Country:

Home telephone:

Mobile telephone:

Fax number:

E-mail (if any):

Capacity (please select all that apply):

Director

Authorised representative to operate the account

Authorised Signatory

Authorised to Withdraw

Other _____

7.2 Correspondence address (if different):

8. Professional and educational background

Profession:

Name of employer/organisation or own business:

Other occupation(s), if any:

Do you hold any educational or professional qualifications relevant to the type of trading/service you intend to carry with us on behalf of the person whom you represent

YES

NO

If 'YES' please specify:

Was your past occupation(s) within or related to the financial sector?

YES

NO

If 'YES' please specify:

9. Politically exposed persons (see Appendix A for definition)

I declare that **I am NOT** a Politically Exposed Person as defined under points 1 or 2 in Appendix A

I declare that **I am** a Politically Exposed Person as defined under points 1 or 2 in Appendix A

PERSON 3

1. Title (Mr/Ms): _____

2. Full name: _____

3. Nationality: _____

4. Passport number/ (ID card number only if Cyprus resident): _____

5. Place and date of birth: _____

6. Country of residence: _____

7. Contact Details:

Full address:

Postal code:

City & Country:

Home telephone:

Mobile telephone:

Fax number:

E-mail (if any):

Capacity (please select all that apply):

Director

Authorised representative to operate the account

Authorised Signatory

Authorised to Withdraw

Other _____

7.2 Correspondence address (if different):

8. Professional and educational background

Profession:

Name of employer/organisation or own business:

Other occupation(s), if any:

Do you hold any educational or professional qualifications relevant to the type of trading/service you intend to carry with us on behalf of the person whom you represent

YES

NO

If 'YES' please specify:

Was your past occupation(s) within or related to the financial sector?

YES

NO

If 'YES' please specify: _____

9. Politically exposed persons (see Appendix A for definition)

I declare that **I am NOT** a Politically Exposed Person as defined under points 1 or 2 in Appendix A

I declare that **I am** a Politically Exposed Person as defined under points 1 or 2 in Appendix A

D. Financial Information

1. Please indicate if any of the following applies to you:

Balance sheet total of at least EUR 20,000,000

Net turnover of at least EUR 40,000,000

Own funds of at least EUR 2,000,000

2. Financial profile

Total Assets:

Previous Year Profits/Losses:

Liabilities

If the Company does not have any Liabilities, please provide an explanation:

3. Company's Bank Details

Bank Name: _____

Account Number: _____

Sort Code: _____

or

IBAN: _____

BIC: _____

Please state the expected amount of initial funding in the account:

What is your expected destination of outgoing transfers/payments, if different than the expected origin of funds stated above?

Please provide us with details of the source of your wealth and income (e.g. profits from business, loan, income/profits from investments, intellectual property rights etc.):

Are the deposited funds contributed solely by the entity and/or its principals/owners? YES* NO

*If yes, you affirm that all assets deposited or to be deposited in the account(s) are corporate proprietary funds resulting solely from:

- a. Paid in capital contributed by the firm's owners/principals as disclosed in the customer application; and/or
 - b. Net income and/or retained earnings resulting from regular business operations.
-

Please note that the Company (if needed) will be requiring further information/documentation and/or clarifications on this matter.

E. Information Regarding Trading Account

1. Please choose the service(s) envisaged:

CFD trading
in Currency pairs

CFD trading
in Bonds

CFD trading
in Commodities

CFD trading
in Equities

CFD trading
in Indices

If other, please specify:

2. Please state the anticipated account turnover:

3. Please state the purpose and reason for requesting the envisaged service(s) (e.g. hedging, speculation):

4. What are your investment objectives?

- Short term (a few days or weeks) investments in assets for speculative purposes;
- Medium term (a few months) investments in assets for moderate capital appreciation;
- Hedging;
- Automated trading;
- Buy and hold assets for capital preservation.

5. Please provide your email address for margin alerts:

F: Knowledge & Experience

In case this part shall be completed by an authorized Representative of the Client, who shall be authorized to operate the Client's account, then this is directly addressed to the authorized Representative. For more that one authorised representative's please send a copy of this Section separately.

Understanding your trading knowledge and experience will assist us in determining if an account is appropriate for you. Please answer the following questions carefully.

How often have you traded the following products in the last 12 months?

Type of financial instrument	Type of service offered and type/nature of transactions (e.g. Brokerage, Portfolio Management, Buy/Sell, Short Selling, REPOs etc)	Time period over which transactions have been undertaken (e.g. last year, 5 years ago etc)	Volume (in lots/units) and frequency (frequently/regularly/occasionally/never) of transactions
Shares or bonds	_____	_____	_____
Futures, options or ETFs	_____	_____	_____
CFDs or, margined FX	_____	_____	_____
If your leverage is 1:100, what would be the required margin (the funds needed in your account) to open a position on 1 lot (100.000) of EUR/USD?	<input type="checkbox"/> €1,000	<input type="checkbox"/> €100,000	<input type="checkbox"/> €7,000
You have deposited €3,000. Your leverage (multiplier) is 1:100. What is your maximum market risk exposure?	<input type="checkbox"/> €300,000	<input type="checkbox"/> €3,000	
You've bought 1 SP500 CFD (S&P 500 US index) at a price of \$4,500. Shortly after, the price falls to \$4,400. How much have you lost?	<input type="checkbox"/> \$100	<input type="checkbox"/> \$500	<input type="checkbox"/> \$700

G. Provision of Information

Please choose the preferred method of receiving information from the Company:

*Electronically
(Email & Website)

Phone

Post

SMS

* To be able to receive information electronically kindly note that an email address must be provided in Section A7.1 above. Further, kindly note that some information provided through our website may not be addressed personally to you. Kindly confirm that you accept this by ticking the box

H. Risk Disclosure Statement

1. In order to comply with Applicable Regulations, the Company must provide its prospective Clients with a Risk Disclosure statement on complex Financial Instruments. *Below is an overall description of the characteristics of CFDs and of the risks associated with them. Further information please refer to "Risk Disclosure and Warnings Notice".*

2. Trading in Financial Instruments always involves a risk. As a rule, you should not therefore trade in Financial Instruments if you do not understand the products and the risks associated with them.

3. A CFD - or Contract for Difference - is speculation in changes in values. This Financial Instrument allows the Client to speculate in future increases or decreases in the value of a specific Underlying Asset, for instance Currency Pairs, equity indices, metals, commodities and forwards. If the Client's speculations prove to be correct, he will make a profit from the difference in value (less costs), but he will have to pay the difference in value (plus costs) if his speculations turn out to be wrong.

4. CFDs available with the Company are always margin traded, allowing the Client to take a larger position than he would otherwise be able to based on his funds. So, a relatively small movement in the Underlying Market can have a disproportionately dramatic effect on the Client's trade. If the Underlying Market movement is in the Client's favour, the Client may achieve a good profit, but an equally small adverse market movement can not only quickly result in the loss of the Client's entire deposit but may also expose the Client to a large additional loss. CFD trading therefore involves a relatively high level of risk.

5. CFDs trade within wide intraday ranges with volatile price movements. Therefore, the Client must carefully consider that there is a high risk of losses as well as profits. The price of CFDs is derived from the price of the Underlying Asset in which the CFD refers to. CFDs and related Underlying Markets can be highly volatile. The prices of CFDs and the Underlying Assets may fluctuate rapidly and over wide ranges and may reflect unforeseeable events or changes in conditions, none of which can be controlled by the Client or the Company. Under certain market conditions it may be impossible for a Client's order to be executed at declared prices leading to losses. The prices of CFDs and the Underlying Asset will be influenced by, amongst other things, changing supply and demand relationships, governmental, agricultural, commercial and trade programs and policies, national and international political and economic events and the prevailing psychological characteristics of the relevant market place.

MANDATORY: I declare that I have read and understood this Risk Disclosure Statement above and "Risk Disclosure and Warnings Notice":

Name:

Signature:

Date:

Name:

Signature:

Date:

Name:

Signature:

Date:

Name:

Signature:

Date:

I. Signature and Consents

MANDATORY:

I declare and confirm by my/our signature below:

- To have read, understood and agreed with the Agreement (namely the Client Agreement together with its Appendices and Client Classification Policy, Investor Compensation Fund, Summary of Conflicts of Interest Policy, Summary Best Interest and Order Execution Policy, Risk Disclosure and Warnings Notice, Complaints Procedure for Clients).
- That all information disclosed above is complete, true and accurate and I agree to promptly notify the Company of any changes in this information or it ceases to be true and accurate.
- That the documents handed over by me are valid and authentic.
- The amount to invest in the Trading Account and the type of Financial Instruments was chosen by me taking into account my total financial circumstances into consideration and are considered reasonable under these circumstances.
- I am acting as a principal on my own behalf and not as agent or representative or trustee or custodian on behalf of someone else.
- The funds I will use with the Company are not in any direct or indirect way the proceeds of any illegal activity or used or intended to be used for terrorist financing.
- **Data Protection:** To comply with anti-money laundering regulations, the Company is required to collect information and undertake checks on the identity and residential address of the directors, shareholders and other authorised signatories, including accessing and using information held in any database or other electronic format. The Company may request further supporting documents from you to confirm these details. Such requests could delay the processing of this application. The Company may use the personal information of identifiable individuals, for example, for responding to credit reference enquiries, searching the electoral register and making enquiries of credit reference and other agencies, who may retain a record of the enquiry and information given to them and who may also use your information in the future to assist other organisations for crime prevention and credit purposes. For further details about how the Company will use your personal information, please see our Privacy Policy which is available on our website. We occasionally would like to contact you to give you information about products and services offered that are similar or related to the product and services provided or previously provided to you as well as news and events. You have the right to unsubscribe at any time for marketing purposes by contacting our client services team.

Name:

Signature:

Date:

Name:

Signature:

Date:

Name:

Signature:

Date:

Name:

Signature:

Date:

[Company Seal]

J. Initial List of Required Documents

- Certificate of incorporation.
- Recent (up to 6 months) certificate of good standing.
- Certificate of registered office.
- Certificate of directors and secretary.
- Certificate of shareholders.
- Memorandum and articles of association.
- Resolution of the Board of Directors of the Client for the opening of the account and granting authority to those who will operate it (APPENDIX C).
- If the registered shareholders act as nominees of the Beneficial Owner (see definition in Appendix A), a copy of the trust deed/agreement concluded between the nominee shareholder and the Beneficial Owner, by virtue of which the registration of the shares on the nominee shareholder's name on behalf of the Beneficial Owner has been agreed.
- Group chart including ownership structure (signed by the Company's Executive Management)

For each Director of the Client who is a natural/physical person, as per the certificate of Directors, the following documents are required:

- Copy of Passport (for Directors not residing in Cyprus. Photograph, personal details, signature, issue & expiry dates, place and date of issue, serial number to be clearly visible).
- Copy of Driving License, Passport or National Identity Card (for Directors who are residing in Cyprus).
- Copy of a recent (up to 6 months) utility bill, local authority tax bill or a bank statement.

For each Director of the Client who is a legal entity, as per the certificate of Directors, the following documents are required:

- Certificate of incorporation.
- Recent (up to 6 months) certificate of good standing.
- Certificate of registered office.
- Certificate of directors and secretary.
- Certificate of shareholders.
- Memorandum and articles of association.

For each natural person who is a registered shareholder or/and a Beneficial Owner (for definition see Appendix B) of the Client the following documents are required:

- Copy of Passport (for persons not residing in Cyprus. Photograph, personal details, signature, issue & expiry dates, place and date of issue, serial number to be clearly visible).
- Copy of Driving License, Passport or National Identity Card (for persons who are residing in Cyprus).
- Copy of a recent (up to 6 months) utility bill, local authority tax bill or a bank statement.

For each legal entity who is a registered shareholder of the Client the following documents are required:

- Certificate of incorporation.
- Recent (up to 6 months) certificate of good standing.
- Certificate of registered office.
- Certificate of directors and secretary.
- Certificate of shareholders.
- Memorandum and articles of association.

For Representatives authorized to open and/or operate the Client's account the following documents must also be provided for each Representative person:

- Copy of Passport (for Representatives not residing in Cyprus. Photograph, personal details, signature, issue & expiry dates, place and date of issue, serial number to be clearly visible).
- Copy of Driving License, Passport or National Identity Card (for Representatives who are residing in Cyprus).
- Copy of a recent (up to 6 months) utility bill, local authority tax bill or a bank statement.
- Board of Directors Resolution (APPENDIX C) for the Appointment of an authorized Representative

Remarks

1. Certification standards: Copies of documents may be certified as true copies if the Client is from the EEA and Apostilled if the Client is from any other jurisdiction.
2. Certified (True) Copy means that the person certifying the copy of the document has had sight of the original document at certification and is in a position to certify that the copy is a True and complete copy of the original document. StoneX Europe Ltd recognises such certifications when made by independent reputable sources.

Such sources must be operating in the EEA and indicatively include the Client's Bank, the Client's Legal Counsel, a Solicitor/Lawyer or Public Accountant regulated by a professional body (membership number required). The Company requires that the certification process includes the Authenticator stating his name, capacity/position, signature, date and Official Seal on the documents being certified.

3. Apostilled copies: Documents should be apostilled in accordance with the provisions of the relevant Hague Convention.
4. Kindly note that the Company may always and at any time revert to you requesting further information, clarifications and documentation from your behalf with respect to your application for opening an account or the maintenance and continuation of your account following approval.

APPENDIX A

Definitions of PEP and Connected/Close Associates

POLITICALLY EXPOSED PERSON (PEP)

Politically Exposed Person (PEP) means a natural person who is or who has been entrusted with prominent public functions and includes the following***:

A. Heads of State, heads of government, ministers and deputy or assistant ministers;

B. Members of parliament or of similar legislative bodies;

C. Members of the governing bodies of political parties;

D. Members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, except in exceptional circumstances;

E. Members of courts of auditors or of the boards of central banks;

F. Ambassadors, *chargés d'affaires* and high-ranking officers in the armed forces;

G. Members of the administrative, management or supervisory bodies of State-owned enterprises;

H. Directors, deputy directors and members of the board or equivalent function of an international organisation.

No public function referred to in points (a) to (h) shall be understood as covering middle-ranking or more junior officials

*** <https://eur-lex.europa.eu/legal-content/EN/TXT/?qid=1477481477552&uri=CELEX:32015L0849>

CONNECTED TO A POLITICALLY EXPOSED PERSON (PEP) MEANS FAMILY MEMBERS AND CLOSE ASSOCIATES***

Family members includes the following:

A. The spouse, or a person considered to be equivalent to a spouse, of a politically exposed person;

B. The children and their spouses, or persons considered to be equivalent to a spouse, of a politically exposed person;

C. The parents of a politically exposed person.

Persons known to be close associates' means:

A. Natural persons who are known to have joint beneficial ownership of legal entities or legal arrangements, or any other close business relations, with a politically exposed person;

B. Natural persons who have sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the de facto benefit of a politically exposed person.

*** <https://eur-lex.europa.eu/legal-content/EN/TXT/?qid=1477481477552&uri=CELEX:32015L0849>

APPENDIX B

Definitions of Beneficial Owner

“Beneficial Owner” means the natural person or natural persons, who ultimately owns or control the Client and/or the natural person on whose behalf a transaction or activity is being conducted.

The Beneficial Owner shall at least include:

- (a) In the case of corporate entities:
 - i. Individuals, who ultimately own or control a corporation, by direct or indirect ownership, or control of 25% or more of the shares or voting rights.
 - ii. Individuals, who otherwise exercise control over the management of a corporation
- (b) In the case of legal entities, such as foundations and legal arrangements, such as trusts, which administer and distribute funds:
 - i. Individuals who, according to the articles of a fund/trust or otherwise, are entitled to receive 25% or more of the distribution funds or other assets of a fund or a similar legal arrangement, provided such persons are known.
 - ii. The group of persons in whose main interest a fund/trust or similar legal arrangement has been set up or operates.
 - iii. Individuals, who exercise control over 25% or more of the distribution funds or other assets of a fund/trust or similar legal arrangement or entity.

APPENDIX C Board Resolution

WE CERTIFY THAT AT A MEETING OF THE DIRECTOR OF [INSERT THE NAME OF THE COMPANY] (THE 'CLIENT') WHOSE REGISTERED OFFICE IS AT [INSERT REGISTERED OFFICE ADDRESS] HELD ON [INSERT DATE DD/MM/YYYY], THE FOLLOWING RESOLUTIONS HAVE PASSED.

I/We, the person(s) named in **Box 1**, certify that (a) the information contained in this application form is accurate, complete and up to date and (b) the resolutions at paragraph below are true and complete copies of the resolutions of the Client duly passed (and which have not been subsequently varied or revoked) at the Board meeting referred to above, at which a quorum (entitled to vote) was present throughout and at which the directors declared the nature of any declarable interests.

The resolutions were as follows:

- StoneX Europe Ltd is hereby requested and authorised to open for the client such account(s) as may now or from time to time be considered appropriate for purposes of transacting and subscribing to the services and products of StoneX Europe Ltd according to the relevant terms and conditions;
- That the director(s) that sign the application form are hereby authorised to do so for and on behalf of the company, do all acts, execute all documents and perform and enter into all agreements necessary or convenient for the purposes of opening and/or operating the account; and
- That the person(s) on **Part C** of this application form and any additional person(s) so indicated are hereby authorised to give instruction in relation to the account(s).

I/we consent to the Order Execution Policy

DECLERATIONS

I/We, jointly and severally, declare that:

- I/We hereby request and authorise you to open an account for the company;
- I/We have read and understood the nature and the risk of the product(s) that the company intends to trade in this account;
- I/We have obtained from the website (forex.com/ie), read and understood the following:
 - The General Business Terms,
 - Order Execution Policy,
 - Conflict of Interest Policy,
 - Privacy Policy
- I/We warrant that we have full power and authority to open and operate the account in accordance with the above resolutions, the company's articles & memorandum of association and any other constitutional documents and without breach of any law, restriction or obligation binding on the company;
- I/We have provided true, accurate and complete information and authorise you to make any enquiries which you may consider necessary for confirmation of such information and undertake to update StoneX Europe Ltd of any changes to the information provided without delay;
- I/We accept and agree to be bound by the terms provided above
- I/we accept that in certain circumstances StoneX Europe Ltd will be obliged to share information with Cyprus tax authorities, who may pass it on to other tax authorities.

Name:

Name:

Name:

Name:

Signature:

Signature:

Signature:

Signature:

Date:

Date:

Date:

Date:

[Company Seal]