

# Application Form

## Individual or Joins Accounts

Please complete this form, then email it to us at [newaccounts@cityindex.com.au](mailto:newaccounts@cityindex.com.au)

StoneX Financial Pty Ltd trading as City Index (ACN 141774727, AFSL 345646) would like to thank you for your interest in our products.

Please complete ALL SECTIONS in full using BLOCK CAPITALS.

### SECTION 1 - PERSONAL DETAILS

Title Mr  Mrs  Miss  Ms  Dr

Other  Please specify

First Name

Middle names

Surname

Date of Birth

Country of Residence

Email address

Phone Number

Enter username

*(select a memorable username for your account)*

Address Line 1

Address Line 2

Address Line 3

State

Postcode

Citizenship

### TAX RESIDENCY

Is Australia your only country of tax residency? Yes  No

Country

If you are a tax resident of the United States please provide your Social Security number.

Social Security number

Please check the rules of any country in which you may possibly be a tax resident and/or seek further independent tax advice if you are unsure as to your tax status.

### IDENTITY VERIFICATION

Please provide details of either your passport or driving license.

Australian driver licence number

Passport Number

### SECTION 2 - JOINT HOLDER DETAILS

*(only complete if applying for a Joint Account)*

Title Mr  Mrs  Miss  Ms  Dr

Other  Please specify

First Name

Middle names

Surname

Date of Birth

Country of Residence

Email address

Phone Number

Enter username

*(select a memorable username for your account)*

Address Line 1

Address Line 2

Address Line 3

State

Postcode

Citizenship

### TAX RESIDENCY

Is Australia your only country of tax residency? Yes  No

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If you are a tax resident of the United States please provide your Social Security number.

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### IDENTITY VERIFICATION

Please provide details of either your passport or driving license.

Australian driver licence number

Passport Number

**SECTION 3 – ACCOUNT TYPE**

1) Select your account type:

 CFD Account     FX Account

2) Select your base currency:

 AUD     GBP  
 EUR     USD
**SECTION 4 MORE ABOUT YOU****EMPLOYMENT**

Employment status     Employed     Retired     Self employed  
 Student     Unemployed

**YOUR FINANCIAL DETAILS**

Gross annual income (AUD)

 Over \$200,000     \$50,000-\$79,999  
 \$120,000-\$199,999     \$20,000-\$49,999  
 \$80,000-\$119,999     less than \$20,000

Savings &amp; Investments (AUD)

 Over \$500,000     \$2,000-\$9,999  
 \$150,000-\$499,999     \$500-\$1,999  
 \$50,000-\$149,999     less than AU \$500  
 \$10,000-\$49,999

Anticipated amount available for trading each month (AUD)

 Over \$100,000     \$5,000-\$19,999  
 \$50,000-\$99,999     less than \$5,000  
 \$20,000-\$49,999

What CFD asset classes do you intend to trade?

 FX     Interest Rates & Bonds  
 Share CFDs     Cryptocurrencies  
 Indices     Options & Knockout Options  
 Commodities, Metals & Oil

If you are opening a MetaTrader account, please select:

 FX     Indices     Commodities, Metals & Oil
**YOUR EXPERIENCE**

As part of our regulatory obligations we are required to ask about your relevant experience to assess whether our products are appropriate for you. Failure to provide accurate responses may affect our ability to

1) How often have you traded CFDs, futures, options or margined foreign exchange in the past 12 months on an execution only basis?

 Frequently     Regularly  
 Occasionally     Never

2) How often have you traded shares and/or bonds in the past 12 months on an execution only basis?

 Frequently     Regularly  
 Occasionally     Never

3) Do you have relevant work experience or professional qualifications?

 Yes     No

**SECTION 5 MORE ABOUT JOINT HOLDER****EMPLOYMENT**

Employment status  Employed  Retired  Self employed  
 Student  Unemployed

**YOUR FINANCIAL DETAILS**

Gross annual income (AUD)

Over \$200,000  \$50,000-\$79,999  
 \$120,000-\$199,999  \$20,000-\$49,999  
 \$80,000-\$119,999  less than \$20,000

Savings &amp; Investments (AUD)

Over \$500,000  \$2,000-\$9,999  
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 \$50,000-\$149,999  less than AU \$500  
 \$10,000-\$49,999

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FX  Interest Rates & Bonds  
 Share CFDs  Cryptocurrencies  
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Frequently  Regularly  
 Occasionally  Never

2) How often have you traded shares and/or bonds in the past 12 months on an execution only basis?

Frequently  Regularly  
 Occasionally  Never

3) Do you have relevant work experience or professional qualifications?

Yes  No

## SECTION 6 AGREEMENTS

By ticking the box below, I declare and acknowledge that:

1. I understand that City Index is a trading name of StoneX Financial Pty Ltd and I am applying to open a trading account with StoneX Financial Pty Ltd (City Index Australia);
2. I have received, read and understood City Index Australia's Financial Services Guide, Product Disclosure Statement (PDS) and Customer Agreement all available at [www.cityindex.com/en-au](http://www.cityindex.com/en-au) (together "Documents") and agree to be bound by the terms of the Documents;\*
3. I consent to receiving the Documents in electronic form. I also give my consent to receive documents such as trade confirmations, daily statements, monthly statements, receipt of funds in electronic form.
4. I agree that City Index Australia will deal in its derivative products and provide its services to me on the terms and conditions of the Documents (as amended, supplemented or replaced from time to time);
5. I have given consideration to my objectives, financial situation or needs, the content of the Documents and the risk of loss which accompany the prospects of profit associated with dealing in City Index Australia's products/services, and formed the opinion that dealing in City Index Australia's products/services are suitable for my circumstances and purposes;
6. I am aware that investing in derivatives carries a high level of risk to capital, and due to the potential volatility and fluctuation in value, I may not get back the amount of my original investment;
7. I understand and agree that City Index Australia may vary, update or replace the Documents at any time when City Index reasonably considers necessary by:
  - a. written notice to me; or
  - b. posting the notice of changes or new Documents on City Index Australia's websites; or
  - c. Sending me a written notice containing a link to the notice of changes or new Documents; or
  - d. as otherwise permitted by applicable law;
8. I declare that the information contained in this application is true and correct (including the authenticity of the identification documents) and I will notify City Index Australia in writing immediately if the information contained in this application materially changes or ceases to be correct;
9. I have been advised by City Index Australia to obtain independent legal and financial advice concerning its products/services and the Documents. I have obtained appropriate and sufficient advice concerning the terms of the Documents;
10. I consent to City Index Australia collecting, maintaining, using and disclosing personal information about me and provided by me or by another person as described in the PDS;
11. I agree that City Index Australia may charge to my account any fees and charges as set out in the PDS, government charges, taxes or duties imposed on transactions on/or which relate to my account;
12. I agree to check my account statements/trade confirmations daily and notify City Index Australia immediately of any errors or unusual transactions;

13. I agree that in opening an account I have been opted into Marketing. Should you wish to opt out contact Client Management [clientservices@cityindex.com.au](mailto:clientservices@cityindex.com.au)
14. If I have applied for a joint account, I declare that the joint account holder and I are the only persons authorised to trade on my account. If I wish to appoint another person to trade on my account, I will provide a duly executed power of attorney form to City Index Australia. I will not disclose my account number and password to any person other than my joint holder/attorney;
15. I acknowledge that my joint account holder and I are jointly and severally liable for all trading on my trading account and that clause 3.5 of the Customer Agreement applies to the operation of the joint account
16. I confirm that I am authorised to provide the personal details presented and I consent to my information being checked with the document issuer or official record holder for the purpose of confirming my identity.
17. I have also read and understood City Index Australia's Target Market Determination available at <https://www.cityindex.com/en-au/terms-and-policies/overview/>
18. By signing below, the joint account holder (joint applicant) agrees to be bound by the terms of the Documents and the above declaration.

Print Name

Date (DDMMYYYY)

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**Joint Holder Signature**

Print Name

Date (DDMMYYYY)

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Please email this completed form to [\*\*newaccounts@cityindex.com.au\*\*](mailto:newaccounts@cityindex.com.au)