

# Individual Account Application Form

Please complete ALL SECTIONS in full using BLOCK CAPITALS. Failure to do so could delay the opening of your trading account.

Please call 0845 880 0003 should you have any questions.

## 1 - Account Type

Are you applying for an individual or joint account?  Spread Bet  CFD

Individual  Joint

(If you are applying for a joint account, please ensure that the Joint Account Supplementary Form is completed by the second applicant)

## 2 - Your Personal Details

Title  Mr  Mrs  Miss  Ms  Dr

Other Please specify

First Name

Surname

Date of Birth (DDMMYYYY)

Citizenship

Please enter all the countries where you are a resident for tax purposes and provide your tax identification number or equivalent such as social security number, national insurance number, resident registration number, or personal identification code. If your country does not issue tax identification numbers, please enter NA.

Country / Jurisdiction of tax residence  Tax Identification Number

Name of Joint Applicant (if applicable)

### Your Current Address Details

Address Line 1

Address Line 2

Address Line 3

Town

County

Postcode

Country

Occupancy

Owner  Rented  Living with Parents

Time at current address  Years  Months

If you have been at the above address less than 3 years, please give your previous address.

### Previous Address

Address Line 1

Address Line 2

Address Line 3

Town

County

Postcode

Country

Time at previous address  Years  Months

## 3 - Contact Details

Preferred contact number (please tick appropriate box)

Home Tel No

Business Tel No

Mobile No

Email Address

## 4 - Employment Details

As an FCA regulated firm we are required to assess whether leveraged trading is appropriate for you. **Your responses will be used to determine whether we are able to open an account for you** so please complete your application carefully.

**Employment Status**  Employed  Self employed

Retired  Student  Not Working

Employer / Business Name

*We will not contact your employer*

Nature of Business

## 5 - Financial Information

Your responses will be used to determine whether we are able to open an account for you. Please ensure that you provide accurate responses to the following important questions.

Total annual income

Total savings and investments

Source of funds

Savings/Investments	Family Income	Benefits
Loans/Credits	Property Income	Pension
Other		

## 6 - Trading Experience

Understanding your trading knowledge and experience will assist us in determining if an account is appropriate for you. Please answer the following questions carefully.

How often have you traded the following products in the last 12 months?

Shares or bonds

Frequently	Regularly	Occasionally	Never
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Futures, options or ETFs

Frequently	Regularly	Occasionally	Never
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Spread Bets, CFDs or margined FX

Frequently	Regularly	Occasionally	Never
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How many times have you traded Spread Bets, CFDs or spot FX in the last 12 months? (check one)

50 or more	25 - 49	10 - 24	Less than 10
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Do you have work experience that provides you with a good understanding of trading Spread Bets or CFDs?

Yes	No
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If yes, please specify:

I have at least 3 years' work experience

I have less than 3 years' work experience or at least one years' experience investing on my own behalf

Investing experience **must** be independent of your experience provided above.

Do you have any qualifications that assist your understanding of Spread Bets or CFDs?

Yes	No
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If yes, please specify:

I hold a professional qualification or degree such as the CFA, MBA, BSc or MA

I hold a qualification or degree in finance, economics, accounting OR a similar field.

## 7 - Additional Information

If you do not understand the following concepts a leverage trading account may not be appropriate for you.

I understand that Spread Bets, CFDs, and Margined FX are leveraged products and their performance may be volatile.

I understand	I don't understand
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I understand that it is my responsibility to monitor and manage my account.

I understand	I don't understand
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I understand that when trading leveraged products that my capital is at risk.

I understand	I don't understand
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## 8 - News, Product & Service Updates

Please keep me informed about news, products and services applicable to my account via:

Email e.g. platform updates, webinars, trading ideas, market news etc.

Phone e.g. personalised trading support services etc.

SMS e.g. real-time trading updates, market volatility alerts etc.

Post e.g. account information, benefits, rewards etc.

You can change your preferences at any time within 'My Account', or [contact us](#).

## 9 - Central Counterparty Clearing

Your account will provide central counterparty clearing on certain markets through an Omnibus Segregated Clearing Account (OSCA). This service is free of charge.

You have the option to choose an Individual Segregated Clearing Account (ISCA) instead of, or in addition to, the omnibus account we provide as standard. Choosing an ISCA will incur account opening and maintenance fees. Read more or request ISCA information below.

No thanks	Yes send me ISCA information
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## 10 - Declaration

I confirm that:

- The information provided in this application is true and accurate.
- I have read and agree to the [Terms and Policies](#) including without limitation the Terms and Conditions and Privacy Policy.

- You may provide information to me (including without limitation any [Terms and Policies](#) and any updates or changes thereto) by email, on your website or by any other electronic means.
- I understand the nature and risks of trading Spread Bets/CFDs and have read and understood the Risk Warnings in your [Terms and Policies](#).

If there is anything you do not understand, please call Client Management on 0845 880 0003

#### Data Protection

To comply with anti-money laundering regulations, the Company is required to collect information about you and to undertake checks on your identity and residential address, including accessing and using information held in any database or other electronic format. The Company may request further supporting documents from you to confirm these details. Such requests could delay the processing of this application.

The Company may use your personal information, for example, for responding to credit reference enquiries, searching the electoral register and making enquiries of credit reference and other agencies, who may retain a record of the enquiry and information given to them and who may also use your information in the future to assist other organisations for crime prevention and credit purposes.

For further details about how the Company will use your personal information, please see our Privacy Policy which is available on our website.

By signing this form you represent that all the information contained herein is true and accurate and you agree to be bound by the terms of this application form and the Agreement.

Some of the market data that you will be able to view as part of your account may include data from various exchanges. In compliance with their data usage requirements, you are required to confirm if you are a professional client. A professional client is a person who is not an individual, is not trading on its own behalf and is not registered with any regulatory body.

Exchange professional client	Exchange non-professional client
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Is the country specified above in Your Current Address Details your only country of residence for tax purposes?

Yes                      No

Please check the rules of any country in which you may possibly be a tax resident and/or seek further independent tax advice if you are unsure as to your tax status.

## 11 - Signature

Signature

Date (DDMMYYYY)

CFDs are complex instruments and come with a high risk of losing money rapidly due to leverage. **73% of retail investor accounts lose money when trading CFDs with this provider.** You should consider whether you understand how CFDs work and whether you can afford to take the high risk of losing your money.

#### What to do next

Please check the details that you have provided are correct and that the application form is signed. Then send your completed application to us by post, fax or email scanned copies using the details below.

#### Freepost RSAR-ULBK-KKYS

Interactive Investor trading name of Gain Capital UK Ltd  
Park House  
16 Finsbury Circus  
London  
EC2M 7EB

**Email:** [customercontact@iii.co.uk](mailto:customercontact@iii.co.uk)