

IDENTITY VERIFICATION

Please provide details of either your passport or driving license

Australian driver licence number

Passport Number

SECTION 3 – ACCOUNT TYPE

1) Select your account type:

CFD Account FX Account

2) Select your base currency:

AUD GBP

EUR USD

SECTION 4 MORE ABOUT YOU

EMPLOYMENT

Employment status	Employed	Retired	Self employed
	Student	Unemployed	

YOUR FINANCIAL DETAILS

Gross annual income (AUD)	Over \$200,000	\$120-\$199,999	\$80,000-\$119,999
	\$50,000-\$79,999	\$20,000-\$49,999	less than \$20,000

Savings & Investments (AUD)	Over \$500,000	\$150,000-\$499,999	\$50,000-\$149,999
	\$10,000-\$49,999	\$2,000-\$9,999	\$500-\$1,999
	less than AU \$500		

Anticipated amount available for trading each year (AUD)	over \$100,000	\$50,000-\$99,999	\$20,000-\$49,999
	\$5,000-\$19,999	less than \$5,000	

Anticipated frequency of your transactions with us	Annually	Semi-annually	Monthly
	Weekly	Daily	

Your Experience

As part of our regulatory obligations we are required to ask about your relevant experience to assess whether our products are appropriate for you. Failure to provide accurate responses may affect our ability to approve your application for a trading account with us.

1) How often have you traded CFDs, futures, options or margined foreign exchange in the past 12 months on an execution only basis?

Frequently Regularly

Occasionally Never

2) How often have you traded shares and/or bonds in the past 12 months on an execution only basis?

Frequently Regularly

Occasionally Never

3) Do you have relevant work experience or professional qualifications?

Yes No

SECTION 5 AGREEMENTS

By ticking the box below, I declare and acknowledge that:

- I understand that City Index is a trading name of GAIN Capital Australia Pty Ltd and I am applying to open a trading account with GAIN Capital Australia Pty Ltd (City Index Australia);
- I have received, read and understood City Index Australia's Financial Services Guide, Product Disclosure Statement (PDS) and Customer Agreement all available at www.cityindex.com.au (together "Documents") and agree to be bound by the terms of the Documents;*
- I consent to receiving the Documents in electronic form. I also give my consent to receive documents such as trade confirmations, daily statements, monthly statements, receipt of funds in electronic form.
- I agree that City Index Australia will deal in its derivative products and provide its services to me on the terms and conditions of the Documents (as amended, supplemented or replaced from time to time);
- I have given consideration to my objectives, financial situation or needs, the content of the Documents and the risk of loss which accompany the prospects of profit associated with dealing in City Index Australia's products/services, and formed the opinion that dealing in City Index Australia's products/services are suitable for my circumstances and purposes;
- I am aware that investing in derivatives carries a high level of risk to capital, and due to the potential volatility and fluctuation in value, I may not get back the amount of my original investment and in certain circumstances I may be liable to pay a greater sum, with losses being higher than my initial deposit;
- I understand and agree that City Index Australia may vary, update or replace the Documents at any time when City Index reasonably considers necessary by:
 - written notice to me; or
 - posting the notice of changes or new Documents on City Index Australia's websites; or
 - sending me a written notice containing a link to the notice of changes or new Documents; or
 - as otherwise permitted by applicable law;
- I declare that the information contained in this application is true and correct (including the authenticity of the identification documents) and I will notify City Index Australia in writing immediately if the information contained in this application materially changes or ceases to be correct;
- I have been advised by City Index Australia to obtain independent legal and financial advice concerning its products/services and the Documents. I have obtained appropriate and sufficient advice concerning the terms of the Documents;
- I consent to City Index Australia collecting, maintaining, using and disclosing personal information about me and provided by me or by another person as described in the PDS;
- I agree that City Index Australia may charge to my account any fees and charges as set out in the PDS, government charges, taxes or duties imposed on transactions on/or which relate to my account;
- I agree to check my account statements/trade confirmations daily and notify City Index Australia immediately of any errors or unusual transactions;
- I agree that in opening an account I have been opted into Marketing. Should you wish to opt out contact Client Management clientservices@cityindex.com.au
- If I have applied for a joint account, I declare that the joint account holder and I are the only persons authorised to trade on my account. If I wish to appoint another person to trade on my account, I will provide a duly executed power of attorney form to City Index Australia. I will not disclose my account number and password to any person other than my joint holder/attorney;
- I acknowledge that my joint account holder and I are jointly and severally liable for all trading on my trading account and that clause 3.5 of the Customer Agreement applies to the operation of the joint account

16. I confirm that I am authorised to provide the personal details presented and I consent to my information being checked with the document issuer or official record holder for the purpose of confirming my identity.

17. By signing below, the joint account holder (joint applicant) agrees to be bound by the terms of the Documents and the above declaration.

Print Name

Date (DDMMYYYY)

Please email this completed form to **newaccounts-au@gaincapital.com**

A large, empty rectangular box with rounded corners, intended for the applicant to provide their signature and date.