

Application Form

Company & Partnership Accounts

Please print out and complete this form, then scan a copy and email it to us

newaccounts-au@gaincapital.com

Gain Capital Australia Pty Ltd trading as City Index (ACN 141774727, AFSL 345646) would like to thank you for your interest in our products. The step-by-step process guide provided with this form will help you complete the required fields.

How to complete this form:

- If you're a company complete sections 1, 3, 4 & 5 of the form
- If you're a partnership complete all sections of the form
- The following documentation is required

o For Companies:

- The Company's ASIC registration details
- For each Beneficial Owner, Directors and Authorised Users, a Passport / Driving Licence. If the individual is residing outside of Australia, proof of residential address must also be provided, such as a utility bill, bank or credit card statement which must be dated within the last 3 months.

o For Partnerships:

- Certified copy of the partnership agreement OR
- Certified extract of the partnership agreement
- For each partner & authorised user – a Passport / Driving Licence. If the individual is residing outside of Australia, proof of residential address must also be provided, such as a utility bill, bank or credit card statement which must be dated within the last 3 months.

o Deed of Guarantee and Indemnity –

Signed by one Director/Partner/Beneficial Owner.

Please see this guide for a detailed explanation on how to complete this form, alternatively you can also call us **1800 354 182**.

Your Experience

As part of our regulatory obligations we are required to ask about your relevant experience to assess whether our products are appropriate for you. Failure to provide accurate responses may affect our ability to approve your application for a trading account with us.

- How often have you traded CFDs, futures, options or margined foreign exchange in the past 12 months on an execution only basis?

Frequently	Regularly
Occasionally	Never
- How often have you traded shares and/or bonds in the past 12 months on an execution only basis?

Frequently	Regularly
Occasionally	Never
- Do you have relevant work experience or professional qualifications?

Yes	No
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Section 3C – Personal Details – Beneficial Owner 1

(To be completed if you are a private/proprietary Company applicant)

Beneficial Owner*

* Beneficial Owner means any individual who owns through one or more share holdings more than 25% of the issued capital of the company.

BENEFICIAL OWNER 1

Full Given Name

Surname

Residential Address

Address Line 1

Address Line 2

Address Line 3

Town

Postcode

Country (if not Australia)

Please provide one of the following identification details

Driver licence number

Expiry Date

Passport Number

Place of Birth

Salary band	0 - \$20k	\$20 - \$30k	\$30 - \$50k
	\$50 - \$75k	\$75 - \$100k	\$100k+

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- How often have you traded CFDs, futures, options or margined foreign exchange in the past 12 months on an execution only basis?

Frequently	Regularly
Occasionally	Never
- How often have you traded shares and/or bonds in the past 12 months on an execution only basis?

Frequently	Regularly
Occasionally	Never
- Do you have relevant work experience or professional qualifications?

Yes	No
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Section 3D – Personal Details – Beneficial Owner 2

(To be completed if you are a Private/Proprietary Company applicant)

BENEFICIAL OWNER 2

Full Given Name

Surname

Residential Address

Address Line 1

Address Line 2

Address Line 3

Town

Postcode

Country (if not Australia)

Salary band	0 - \$20k	\$20 - \$30k	\$30 - \$50k
	\$50 - \$75k	\$75 - \$100k	\$100k+

Please provide one of the following identification details

Driver licence number

Expiry Date

Passport Number

Place of Birth

Your Experience

As part of our regulatory obligations we are required to ask about your relevant experience to assess whether our products are appropriate for you. Failure to provide accurate responses may affect our ability to approve your application for a trading account with us.

- How often have you traded CFDs, futures, options or margined foreign exchange in the past 12 months on an execution only basis?

Frequently	Regularly
Occasionally	Never
- How often have you traded shares and/or bonds in the past 12 months on an execution only basis?

Frequently	Regularly
Occasionally	Never

- 3) Do you have relevant work experience or professional qualifications?
- Yes No

Section 4 – Authorised Person

Will anyone other than the individuals specified in Section 3 be trading on your account?

Yes No

If yes, please provide us with the details below.

Title Mr Mrs Miss Ms Dr

Other Please specify

Date of Birth

Surname

Given Names

Residential Address

Address Line 1

Address Line 2

Address Line 3

Town

Postcode

Please provide one of the following identification details

Driver licence number

Expiry Date

Passport Number

Place of Birth

Phone Contacts (Please tick to indicate preferred contact number)

Work

Mobile

Salary band	0 - \$20k	\$20 - \$30k	\$30 - \$50k
	\$50 - \$75k	\$75 - \$100k	\$100k+

Your Experience

As part of our regulatory obligations we are required to ask about your relevant experience to assess whether our products are appropriate for you. Failure to provide accurate responses may affect our ability to approve your application for a trading account with us.

- 1) How often have you traded CFDs, futures, options or margined foreign exchange in the past 12 months on an execution only basis?

Frequently Regularly

Occasionally Never

- 2) How often have you traded shares and/or bonds in the past 12 months on an execution only basis?

Frequently Regularly

Occasionally Never

- 3) Do you have relevant work experience or professional qualifications?
- Yes No

Your preferences

- 1) Select the base currency for your account:

AUD GBP

EUR USD

Section 5 – Declaration And Signatures

- We understand that Gain Capital Australia Pty Ltd (CAN 141 774 727) is the CFD issuer. By signing below, we apply to open a trading account with Gain Capital Australia Pty Ltd trading as City Index ("City Index");
- We have received the Product Disclosure Statement (PDS), Customer Agreement and Financial Services Guide and consent to be provided with these documents in electronic form;
- We agree that City Index will deal in its derivative products and provide its services to me on the terms and conditions of the Customer Agreement and PDS (as amended, supplemented or replaced from time to time);
- We understand and give our consent to receive documents such as trade confirmations, daily statements, monthly statements, receipt of margin in electronic form;
- We declare that the information contained in this application is true and correct and we will notify City Index in writing if the information contained in this application materially changes or ceases to be correct;
- We have given consideration to our company/partnership's objectives, financial needs, and the risk of loss which accompany the prospects of profit associated with dealing in City Index Products, and formed the opinion that they are suitable for our company/partnership's circumstances and purposes. We acknowledge that City Index has not provided me/us with advice on the appropriateness of the product to our company/partnership's circumstances. We have not made an application for an account with City Index in reliance on, or as a result of, any conduct, advice or statement made by City Index;
- We understand and agree that City Index may vary, update or replace the Customer Agreement and PDS at any time when City Index reasonably considers necessary by providing us 14 days prior notice;
- We understand that where we have opened more than one Account with City Index, City Index will treat such Accounts as entirely separate from each other.
- We consent to City Index collecting, maintaining, using and disclosing personal information about us and provided by us or by another person as described in the Privacy Statement contained in the PDS.

Company Resolution

- We hereby certify that a meeting of directors was duly held by the company. The meeting resolved that:
 - it is in the best interest of the company to open accounts with City Index for the purpose of trading CFDs;
 - the Directors and Authorised Person have the power to do all of the following acts and actions necessary in connection with the account(s) and CFD transactions effected therein:
 - To open one or more accounts in the name of the Company with City Index for the purpose of trading in CFDs, and to execute in the name of the company and execute and deliver to City Index any and all agreements, documents, instruments or notices necessary to the opening, maintenance and trading of such account(s);
 - To buy, sell and trade and agree to buy, sell and trade CFDs on margin or otherwise, which power shall include the power to sell "short";
 - To promptly comply with any requirement for additional margin and receive any notice of intention to liquidate, and any notice or demand of any other nature;

- To borrow funds from City Index (on a secured or unsecured basis, as permitted by City Index) or its affiliates to finance any CFD transactions effected through or with City Index; and
- To take such other actions as may be necessary or desirable to carry out the intent of the foregoing and the satisfaction of each and every obligation of the Company in connection with the account and CFD transactions effected therein.

Partnership Declaration

- The Partners represent and warrant to City Index that the Partnership Agreement, as amended from time to time, authorizes the Partners and the authorized person:
 - a) to open one or more accounts in the name of the partnership with City Index for the purpose of trading in CFDs;
 - b) to execute and deliver to City Index any agreements, documents, instruments or notices necessary to the opening, maintenance and/or trading of such account(s);
 - c) jointly and severally authorized to operate the trading accounts with City Index; and
 - d) to use the assets of the partnership to buy, sell and trade CFDs on margin.
- City Index is authorized to rely and act upon the above representations and warranties until such time as City Index shall be notified otherwise in writing. The Partnership and the partners will indemnify City Index and hold City Index harmless from and against any liability, loss, cost or expense it incurs in continuing to act in reliance upon these representations and warranties.

Director 1/ Partner 1

Print Name

Date (DDMMYYYY)

Director 2/ Partner 2

Print Name

Date (DDMMYYYY)

Beneficial Owner 1

Print Name

Date (DDMMYYYY)

Beneficial Owner 2

Print Name

Date (DDMMYYYY)